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PTO/SB/21 (02-04)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/053,827	
	Filing Date	January 18, 2002	
	First Named Inventor	Jack Chang	
	Art Unit	3747	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission		Attorney Docket Number	CCI-005

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Reply  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  <b>1449 citing 16 pieces of art</b>
<div>Remarks</div>		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	John P. Schaub, Reg. No. 42,125
Signature	<i>[Handwritten Signature]</i>
Date	7/28/04

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Typed or printed name	Carol Diez		
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Docket No. CCI-005  
(032727-000006)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Chang, et al.  
SERIAL NO.: 10/053,827  
FILING DATE: January 18, 2002  
TITLE: System and Method for Managing Multimedia Messaging Platforms  
EXAMINER: Not Yet Assigned  
ART UNIT: 3747

**CERTIFICATE OF MAILING**

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P.O. Box 1450,  
ALEXANDRIA, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Each item of information listed in the attached FORM PTO-1449, for which a copy of each is attached (unless the blanket waiver referred to below applies), may be material to the examination of the above-identified application and is, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR §§ 1.56, 1.97 and 1.98. The Examiner is requested to review, consider and document each such item in the official record of this application.

Note: If this box ☐ is checked, this case was filed after June 30, 2003 and qualifies for the blanket waiver of deposit of copies of U.S. Patents and U.S. Patent Application

Publications in accordance with the written waiver of 37 CFR §1.98 (a)(2)(i) dated July 11, 2003. Accordingly, such copies are not attached.

This Information Disclosure Statement under 37 CFR §§ 1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

## I

This statement is filed pursuant to (**CHECK ONE BOX**):



**37 C.F.R. § 1.97(b).**

This information disclosure statement is filed either:

- (1) within three months of the filing date of a national application other than a continued prosecution application under §1.53(d);
- (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application;
- (3) before the mailing date of a first office action on the merits; or
- (4) before the mailing of a first office action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114, **whichever event occurs last.**

**Accordingly, this information disclosure statement requires no fee and no certification.**



**37 C.F.R. § 1.97(c).**

This information disclosure statement is filed **after** the period specified in 37 C.F.R. § 1.97(b), but **before** the mailing date of any of the following:

- (1) a final action under 37 C.F.R. § 1.113;
- (2) a notice of allowance under 37 C.F.R. § 1.311; or
- (3) an action that otherwise closes prosecution in the application.

**Accordingly, this information disclosure statement requires either:**

- (1) the fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c); or
- (2) a certification according to 37 C.F.R. § 1.97 (e)(1) or (2).



**37 C.F.R. § 1.97(d).**

This information disclosure statement is filed **after** the period specified in 37 C.F.R. § 1.97 (c).

**Accordingly, this information disclosure statement requires:**

- (1) a certification in accordance with 37 C.F.R. § 1.97(e); and
- (2) the fee specified in 37 C.F.R. § 1.17 (p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. **To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.**

## II

Fees Due (**CHECK ONE BOX**):

- ☒ No fee is due.
- ☐ The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) or 37 C.F.R. § 1.97(d) is enclosed (**\$180**).

## III

Certification (**CHECK ONE BOX**):

- ☒ No certification is necessary.
- ☐ Pursuant to 37 C.F.R. § 1.97(e)(1), the undersigned hereby certifies:  
That each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- ☐ Pursuant to 37 C.F.R. § 1.97(e)(2), the undersigned hereby certifies:  
No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this information disclosure statement.

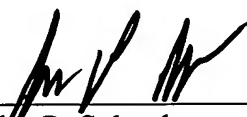
IV

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

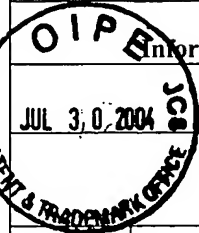
**Please charge any additional required fee or credit any overpayment to our deposit account number 50-1698.**

Respectfully submitted,  
THELEN REID & PRIEST LLP

Dated: July 28, 2004

  
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Form PTO 1449 (Rev. 2-32)		U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. CCI-005	Serial No. 10/053,827
			Applicant: Jack Chang et al.	
			Filed: January 18, 2002	Group: 3747
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)				
<b>Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)</b>				
A	Bellcore, "Simplified Message Desk Interface (SMDI) Generic Requirements", Technical Reference TR-NWT-000283, Issue 2, May 1991, pp. 1-22.			
B	Service Communication Platform, Release 2.0, "Functional Requirements Specification" Rev. 1.02, June 10, 1997, chapter 1-5.			
C	Dialogic Voice Products, "D/42-NS™ Switch Integration Board", pp. 1-7.			
D	Dialogic, "D/82JCT-U Revolutionary PBX Integration Platform" April 25, 2000, pp. 1-4, <a href="http://www.dialogic.com/products/d_sheets/6040web.htm">http://www.dialogic.com/products/d_sheets/6040web.htm</a> .			
E	Lucent Technologies, "Versatile Remote Mailbox Management Software for Octel Message Servers" April 22, 2000, pp. 1-3, <a href="http://www.octel.com/enterprises/unifiedmessaging/www.../index.htm">http://www.octel.com/enterprises/unifiedmessaging/www.../index.htm</a> .			
F	Nortel Networks, "Norstar Voice Mail Requirements", April 22, 2000, pp. 1-2, <a href="http://www.nortelnetworks.com/products/01/norstar.../messaging.htm">http://www.nortelnetworks.com/products/01/norstar.../messaging.htm</a> .			
G	Nortel Networks, "Desktop Messaging: The Power of Information Access", April 22, 2000, pp. 1-2, <a href="http://www.nortelnetworks.com/products/0.../desktop_messaging.htm">http://www.nortelnetworks.com/products/0.../desktop_messaging.htm</a> .			
H	Dialogic, "Taking Advantage of PBX Switch Integration in Computer Telephony Application", January 1996, pp. 1-11.			
I	Dialogic, "Dialogic Launches Industry's First Combined PBX Integration and Multimedia Platform", April 25, 2000, pp. 1-3, <a href="http://www.dialogic.com/emea/press/300-combpbx.htm">http://www.dialogic.com/emea/press/300-combpbx.htm</a> .			
J	Dialogic, "PBXpert/32", pp. 1-3.			
K	Dialogic, "DM3 IPLink DM/IP040-LSI" pp. 1-3.			
L	Dialogic, "Become an Expert at PBX Integration", April 25, 2000, pp. 1-3, <a href="http://www.dialogic.com/products/3732web.htm">http://www.dialogic.com/products/3732web.htm</a> .			
M	Dialogic, "Voice Mail", April 25, 2000, pp. 1-5, <a href="http://www.dialogic.com/company/aboutct/ctapps/1804web.htm">http://www.dialogic.com/company/aboutct/ctapps/1804web.htm</a> .			
N	Dialogic, "IP Technology Basics", April 25, 2000, pp. 1-9, <a href="http://www.dialogic.com/solution/internet/4070web.htm">http://www.dialogic.com/solution/internet/4070web.htm</a> .			
O	Dialogic, "D/41ESC, Global SCSSA 4-Port Voice Processing Board", pp. 1-8.			
P	Dialogic, "Enabling Unified Messaging, Unified Messaging with Dialogic Components Tames Communication Chaos", April 25, 2000, pp. 1-15, <a href="http://www.dialogic.com/company/whitepap/5047web.htm">http://www.dialogic.com/company/whitepap/5047web.htm</a> .			
Examiner			Date Considered	
Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.				